

**PRELATURE OF THE HOLY CROSS AND OPUS DEI
IN EAST ASIA (HONG KONG, MACAU, TAIWAN & KOREA)**

**NORMS FOR THE INVESTIGATION OF COMPLAINTS
AGAINST FAITHFUL OF THE PRELATURE
IN REGARD TO SEXUAL ABUSE OF MINORS**

2019

In accordance with the orientation given by the Congregation for the Doctrine of the Faith in the letter dated 3rd May, 2011, bishops and those equivalent to a bishop under Canon Law, must have clear and coordinated procedures for dealing with cases relating to allegations of abuse. Accordingly, the Norms promulgated by the Personal Prelature of the Holy Cross and Opus Dei in East Asia (Hong Kong, Macau, Taiwan & Korea) on 25 April 2015 and amended on 23 May 2019 are hereby reproduced.

TABLE OF CONTENTS

I. NATURE AND AMBIT OF APPLICATION OF THESE NORMS.....	3
1. Nature of These Norms	3
2. Ambit of Application	3
II. COMPETENT CHURCH AUTHORITY AND AUXILIARY BODIES	4
3. Competent Church Authority	4
4. Consultative Committee.....	4
5. Child Protection Coordinator	4
III. VALUES TO BE PROMOTED IN THE PRELIMINARY INVESTIGATION AND GUIDING PRINCIPLES.....	5
IV. MAKING AND RECEIVING A COMPLAINT	7
7. Making and receiving a complaint.....	7
8. Assistance to Alleged Victims	8
9. Reporting Allegations to Civil Authorities	8
V. PRELIMINARY INVESTIGATION	8
10. Opening a Preliminary Investigation	8
11. Carrying Out a Preliminary Investigation.....	9
12. Conclusions and Recommendations of the Preliminary Investigation	10
13. Closure of the Preliminary Investigation by the Vicar	10
VI. PASTORAL RESPONSE AFTER AN INVESTIGATION IS CLOSED	11
14. Pastoral Response as regards the Victim	11
15. Pastoral Response as regards the Accused.....	12
16. Pastoral Response in respect of Others Affected.....	12
VII. CANONICAL RESPONSE TO CONFIRMED OFFENCES.....	12
APPENDIX I – OFFENCES: NOTION, CREDIBILITY, IMPUTABILITY AND PRESCRIPTION.....	14
A. The offence of sexual abuse of minors: its notion in the Law of the Church and of the State	14
A.1. <i>Motu proprio “Sacramentorum sanctitatis tutela”</i>	14
A.2. The Procedure and Praxis of the Congregation for the Doctrine of the Faith.....	14
A.3. Hong Kong Law	15
A.4. Macau Law	17
A.5. Taiwan Law.....	17
A.6. Korea Law	17
B. Credible allegations.....	18
C. Imputability	19
D. Prescription of the offence of sexual abuse	19
D.1. Prescription in Canon Law.....	19
D.2. Prescription in Hong Kong, Macau, Taiwan & Korea Law.....	20
APPENDIX II – REPORT OF ALLEGED SEXUAL ABUSE OF A MINOR ATTRIBUTED TO A FAITHFUL OF THE PRELATURE OF OPUS DEI IN EAST ASIA	21

I. NATURE AND AMBIT OF APPLICATION OF THESE NORMS

1. Nature of These Norms

- 1.1 The Catholic Church and therefore the circumscription of the Prelature of the Holy Cross and Opus Dei in East Asia (Hong Kong, Macau, Taiwan & Korea) (hereinafter, “the Prelature”) considers sexual abuse of minors to be a grave violation of Christian principles and not to be tolerated. Such offenses are particularly grievous when they are committed by persons who undertake to help others follow Jesus Christ and his teachings more closely.
- 1.2 These norms fall under the type of norms that define more precisely the manner of applying a law (cf. Code of Canon Law [hereinafter “CCL”], canons 31 and 34). They intend to help with the application of CCL, cc. 1717-1719 and of the *motu proprio* “*Sacramentorum sanctitatis tutela*” (hereinafter, “SST”) of 30 April 2001 (with the amendments made to it in the *Normae de gravioribus delictis* of 21 May 2010) about the preliminary investigation of sexual abuse of minors.
- 1.3 These norms are based on the *Guidelines in Cases of Sexual Abuse* issued by the Congregation for the Doctrine of the Faith (hereinafter, “CDF”) (cf. Circular Letter dated 3 May 2011), taking into account the directives published by the catholic bishops’ conferences in the region.

2. Ambit of Application

- 2.1 These norms apply to those who, in the moment an allegation is made, are faithful of the Prelature.
 - 2.1.1 The ambit of application of these norms to the lay faithful is circumscribed to those cases in which the accusation refers to actions carried out while they were fulfilling, under the authority of the Regional Vicar of the Prelature (hereinafter “the Vicar”), an apostolic activity of the Prelature in which Christian formation or spiritual guidance is imparted (cf. *Codex iuris particularis seu Statuta Praelaturae Sanctae Crucis et Operis Dei* [hereinafter “Statuta ”], nos. 1 y 121 §2).
- 2.2 These norms do not apply to:
 - 2.2.1 The lay faithful of the Prelature in their professional or personal activities.
 - 2.2.2 The lay employees and volunteers at those institutions or programs for which the Prelature oversees only the spiritual aspects of the institutions or programs. These institutions have their own policies and procedures and are responsible for the behavior of their employees to their own boards of directors and to the social group for which they work (parents of students, etc.).
- 2.3 In conformity with article 6 of SST, sexual abuse is understood in these norms as the offence against the sixth commandment of the Decalogue committed by one of the faithful mentioned in article 2.1 above with a minor below the age of eighteen years.

II. COMPETENT CHURCH AUTHORITY AND AUXILIARY BODIES

3. Competent Church Authority

- 3.1 The Church authority responsible for the preliminary investigation dealt with in these norms is the Vicar in his capacity as Ordinary of this circumscription of the Prelature (Statuta, no. 151 §1).
- 3.2 Although, in accord with the universal law and with these norms, some persons may help with the investigation and give their opinion, they cannot substitute for the discernment and *potestas regiminis* of the Vicar, provided that it remains clear that the penal action, after taking into account the opinion of two legal experts (cf. CCL, c. 1718 § 3), will be presented before the Tribunal of the Prelature which has its seat in Rome.

4. Consultative Committee

- 4.1 There shall be a Consultative Committee (hereinafter “Committee”) that will function as a consultative body to the Vicar regarding the preliminary investigation of allegations of sexual abuse of minors against faithful of the Prelature. The functions of this Committee will include:
 - 4.1.1 Reviewing and proposing updates to these norms.
 - 4.1.2 Advising the Vicar, along with the Promotor of Justice of the Prelature in this circumscription, in his assessment of the allegations and in his weighing the advisability of applying in each case some of the precautionary measures foreseen in CCL, c. 1722 to safeguard the common good. According to SST, article 19, these measures can be imposed from the outset of the preliminary investigation.
 - 4.1.3 Offering the Vicar advice on all matters related to cases of abuse and proposing to him measures aimed at the protection of minors.
- 4.2 The Committee will be composed of at least five members of outstanding integrity and good judgement in full communion with the Church.
 - 4.2.1 The majority of the Committee members will be lay persons who are not fully dedicated to tasks of the Prelature. The chairperson of the Committee will be a priest of the Prelature with several years of pastoral experience and proven good judgement. At least one member should have expertise in the treatment of sexual abuse of minors.
 - 4.2.2 As far as possible, among the Committee members, there should be professionals of the following disciplines: canon law, criminal or civil law, psychology, moral theology or ethics.
 - 4.2.3 The Vicar will appoint the members of the Committee for a term of five years, which can be renewed. The Vicar may ask a member of his Council to attend the meetings of the Committee.
- 4.3 It is desirable that the Promotor of Justice participate in the meetings of the Committee.

5. Child Protection Coordinator

- 5.1 The Vicar shall appoint a Child Protection Coordinator (hereinafter “Coordinator”) who will be responsible for receiving allegations of sexual abuse of minors against faithful of the Prelature (cf. art. 2.1) should any such allegations be made. The Coordinator may be a member of the

Committee although not necessarily.

- 5.2 The Coordinator should be a skilled listener, sensitive to the needs of complainants and victims, and should act with tact and impartiality. He should receive allegations with respect, understanding and compassion.
- 5.3 A telephone number for contacting the Coordinator will be available in every centre of the Prelature.
- 5.4 Should the Vicar deem it advisable, he can appoint a substitute Coordinator (the term "Coordinator" shall herein include the "substitute Coordinator") who shall have the same role, function and duties of the Coordinator.
- 5.5 The Coordinator will also facilitate meetings of alleged victims with the Vicar or his delegate when such meetings may be deemed opportune to deal with the possible pastoral or medical care which the alleged victim needs.
- 5.6 As laid down in CCL, c. 1719, the Coordinator will keep a log of the accusations of sexual abuse of minors he receives against faithful of the Prelature (cf. art. 2), about which the Vicar decided to open an investigation.
 - When ten years have elapsed since the accusation, what is laid down in CCL, c. 489 § 2 should be done. The summary will not include names of the alleged victims or accused but rather the date on which the allegations were received, the kind of the alleged offence, the opening and closing dates of the preliminary investigation, and the final decision of the Vicar (either forwarding the acts of the investigation to the CDF or considering the allegations as non-credible).
 - Experiences about procedures, drawn from the different cases investigated, which could be of interest for future cases, may also be kept along with the register referred to in the previous paragraph. They should not mention any names.
 - Each case's acts will be kept in the archive of the Prelature in accord with the universal norms about safekeeping of confidential documents (cf. CCL, cc. 489 and 1719).

III. VALUES TO BE PROMOTED IN THE PRELIMINARY INVESTIGATION AND GUIDING PRINCIPLES

- 6 When receiving allegations and investigating them the following values are to be promoted and guiding principles followed:
 - 6.1 As a guarantee that justice will be done, the applicable canonical and civil norms are to be carefully observed, and the rights of all parties involved respected.
 - 6.2 As regards civil law and the judiciary:
 - 6.2.1 Faithfully respecting the sacramental internal forum, the prescriptions of civil law regarding the reporting of such crimes to the designated authority should always be followed.
 - 6.2.2 If the police are investigating the case or a judicial process has been instituted in a criminal or civil court against the accused, nothing shall be done that could obstruct or influence those proceedings. Taking into account the circumstances of each case, the Vicar will decide whether it is opportune to postpone the

opening of the canonical preliminary investigation until the court case of the civil authorities is concluded.

6.2.3 Regardless of the result of the police investigation or the ruling of the court case, the Church retains her right to open a preliminary investigation in accord with CCL, c. 1717 and these norms.

6.3 Care is to be taken that the preliminary investigation does not call into question anyone's good name, (cf. CCL, c.1717 § 2). In order to ensure this, all those who take part in a preliminary investigation shall respect the principle of confidentiality (natural secret).

6.4 All those who receive allegations or take part in a preliminary investigation should always act with justice, mercy and charity. Scandal is to be avoided or repaired.

6.5 As regards the alleged victims:

6.5.1 They should be protected and helped to find support and reconciliation.

6.5.2 They should be offered pastoral care and psychological counselling.

6.5.3 The person who makes allegations ought to be treated with respect. In cases where sexual abuse is connected with another offence against the dignity of the sacrament of Penance (SST, art. 4), the one reporting has the right to request that his or her name not be made known to the priest denounced (SST, art. 24).

6.6 As regards the accused:

6.6.1 Therefore, the accused should be informed of the accusation which has been made and given the opportunity to respond to it unless the Vicar, after hearing the Committee, is of the opinion that there exist serious reasons for not doing so. The prudence of the Vicar will determine, after hearing the Committee, what information will be communicated to the accused in the course of the preliminary investigation.

6.6.2 If the Vicar thinks that there are reasons to limit the information to be given to the accused about the allegations, the accused should be advised that, if at the conclusion of the preliminary investigation the allegations are not discarded as groundless and a judicial or administrative process is opened, he/she will have full access to all the accusations and proofs and the possibility to refute them. Furthermore, the accused is to be reminded that in the civil sphere respondents do not have access to all the information gathered by public prosecutors until and if a judicial process is instituted.

6.6.3 The accused and the accusers are to be reminded that a person is presumed innocent until proven guilty.

6.6.4 During the course of the disciplinary or penal process the accused should always be afforded a just and fit sustenance.

6.6.5 The return of a cleric to public ministry is excluded if such ministry is a danger for minors or a cause of scandal for the community.

6.6.6 At the conclusion of the preliminary investigation, whatever steps are necessary shall be taken to restore the accused's good name if the investigation showed that the accusations were groundless.

IV. MAKING AND RECEIVING A COMPLAINT

7. Making and receiving a complaint

- 7.1 Whoever deems it necessary to make an accusation of sexual abuse of minors against a faithful of the Prelature (cf. art. 2.1) shall contact the Coordinator. Any faithful of the Prelature who becomes aware of child sexual abuse committed by another faithful of the Prelature or has a reasonable cause to suspect that such abuse is occurring should report it immediately to the Coordinator, unless to do so would violate the confidentiality of spiritual guidance or, in the case of priests, the seal of the sacrament of Reconciliation.
- 7.2 The Coordinator will interview without delay the person who wishes to make allegations. This should be done within twenty-four hours of receiving the call from the accuser if possible.
- 7.3 The Coordinator will interview the alleged victim's parents or guardians, if they are not the complainants.
- 7.4 If the complainant is not the alleged victim, the Coordinator, after discerning the appropriateness of such an interview and obtaining the consent of the minor's parents or guardians, will interview him/her in their presence or in the presence of persons mandated by them. These precautions are not needed when, because of the time elapsed since the alleged abuse, the victim is no longer a minor.
- 7.5 The Coordinator shall make no statements to accusers, alleged victims or any other person implying that the accusation is true or not, or that there is a possible liability for damages or that a particular course of action will follow an investigation.
- 7.6 It should be made clear in all conversations of the Coordinator with the complainants or alleged victims that, pending investigation and resolution of the allegations, any precautionary limitation of the priestly ministry (when the accused is a priest) or of the participation in the apostolates of the Prelature (in the case of a lay faithful) which the Vicar might decide is standard procedure. It does not imply any presumption of guilt on the part of the Church authority or admission of guilt on the part of the accused.
- 7.7 The Coordinator will ask those who make allegations to send a written report. He will request the same of the parents or guardian of the alleged victim unless the alleged victim is no longer a minor. The Coordinator will make available to them a copy of the form attached to these norms as a guide to report allegations of abuse (Appendix II). The Coordinator may take it upon himself to write the report if, taking into account the age or level of instruction of the accuser, he foresees that it would not be easy for the accuser to do it. The Coordinator will show the report to the accusers and the parents or guardians who will sign it after verifying that it reflects faithfully what they said. The Coordinator will also sign it.
- 7.8 The Coordinator will keep a log of all the conversations he has with alleged victims, their parents or guardians and any other persons who make allegations, as well as of the written reports on the allegations (cf. art. 5.6).
- 7.9 When an allegation involves a lay employee or volunteer at an institution for which the Prelature oversees only the spiritual aspects of that institution's activities, the Coordinator will advise the accuser to report the allegation to the directors of the institution since they are responsible for the behavior of the employee or volunteer when he/she works at the institution (cf. art. 2.2.2).
- 7.10 If the mass media publish accusations, the Coordinator will contact the person mentioned in the

media as accuser and ask him/her to submit a formal accusation.

- 7.11 If anonymous accusations are received, the Coordinator will inform the Vicar who will decide whether they should be taken into consideration or not.

8. Assistance to Alleged Victims

- 8.1 Upon receiving allegations of sexual abuse of minors against faithful of the Prelature (cf. art. 2.1), if the allegations seem credible, the Coordinator in agreement with the Vicar will promptly initiate contact with the parents or guardians of the alleged victim.
- 8.2 The Coordinator will coordinate assistance for the immediate pastoral care of the alleged victim and his or her family, bearing in mind what is said in arts. 7.5 and 7.6.
- 8.3 In agreement with the Vicar, the Coordinator will also advise them about the possibility of receiving psychological assistance.

9. Reporting Allegations to Civil Authorities

- 9.1 Without prejudice to the inviolability of the internal or sacramental forum (confidentiality of spiritual guidance or the seal of the sacrament of Reconciliation: cf. art. 7.1), in conformity with civil law, the allegations of sexual abuse of minors, which are deemed credible according to art. 10.2, should be reported to the civil authorities.
- 9.2 This right and duty is to be respected always. For no reason should anybody try to dissuade the alleged victim or his/her family from reporting the case to civil authorities. The Coordinator should rather inform the alleged victim or his/her parents or guardians if the victim is a minor, about this right and duty, and will encourage them to act accordingly.
- 9.3 If they refuse to do so the Coordinator, in agreement with the Vicar, will report the allegation received to the civil authorities.
- 9.4 If those making allegations are faithful of the Prelature, the Coordinator will urge them to report it to the civil authorities, unless the alleged victim or his/her parents or guardians have already done it.

V. PRELIMINARY INVESTIGATION

10. Opening a Preliminary Investigation

- 10.1 When the Coordinator receives an allegation of sexual abuse, he will immediately notify the Vicar and submit to him the written report or reports of the conversations he had with the accuser or accusers and the alleged victim or his/her parents or guardians. The Coordinator can make the recommendations he deems opportune on the basis of the impressions he drew from the interviews.
- 10.2 The Vicar will forward the information and will request the Committee its opinion about opening an investigation. After hearing the opinion of the Committee and of the Promotor of Justice (cf. CCL, c. 1722), the Vicar will make a decision. In making this decision, the Vicar will bear in mind that the investigation should be opened whenever the allegation seems credible and does not appear to be entirely superfluous (cf. CCL, c. 1717 § 1), for instance if the accused has admitted to having committed the offence. In the latter case it might be advisable to carry out the investigation in order to establish the exact nature and circumstances of the facts.

- 10.3 If the Vicar decides to open an investigation, he will issue a decree to institute the investigation and appoint a suitable person to carry it out. Alternatively he may lay down that he will do it personally (cf. CCL, c. 1717 § 1). Although the Vicar is to freely decide regarding these two possibilities, normally it will be preferable for him to appoint a delegate to carry out the investigation.
- 10.4 Taking into account the circumstances of the case (number and circumstances of the persons to be interviewed, nature of the alleged facts, etc.), in the decree opening the investigation the Vicar may deem it fitting to appoint two investigators, in addition to his delegate. They may be chosen among professionals with the right skills for a task of this nature; for instance a lawyer and a psychologist or social worker.
- 10.5 As soon as the Vicar issues a decree opening a preliminary investigation, he shall inform the accused within 48 hours about the complaint received, and will send him/her a copy of the decree opening the investigation.
- 10.6 The Vicar will remind the accused the principle according to which a person is presumed innocent until proven guilty. He will also instruct him not to make contact with the complainant or complainants or with the alleged victim or his/her family. The accused will be advised of the risks involved in answering possible questions from the mass media; he should rather refer questions from the media to the Office of the Vicar of the Prelature.
- 10.7 The purpose of the preliminary investigation is to establish the facts and circumstances, as well as the imputability of the offence (cf. CCL, c. 1717 §1 and Appendix I of these norms).
- 10.8 It remains the duty of the Vicar to determine what precautionary measures, among those foreseen in CCL, c. 1722 and the Code of Canons of the Eastern Churches (CCEO), c. 1473, should be imposed to provide for the common good. In accord with SST, art. 19, this can be done once the preliminary investigation has been initiated. The Vicar may ask the Committee for its opinion about the advisability of adopting these measures to limit as a precaution the exercise of the ministry by the priest who is investigated. On its own initiative the Committee may also make this kind of recommendation to the Regional Vicar.

11. Carrying Out a Preliminary Investigation

- 11.1 The investigators appointed by the Vicar (cf. art. 10.4) or his delegate, if the Vicar does not appoint investigators, have the same powers and obligations as an auditor in a process (cf. CCL, c. 1717 § 3 and arts. 11-12 of these norms).
- 11.2 Those who will be interviewed by the investigators are to be informed about their right to be accompanied by another person of their choice. This person could be a canon or civil lawyer.
- 11.3 The investigators will provide the persons whom the accused and the victim have chosen as their advisers, the appropriate information about the progress of the investigation (cf. arts. 6.6.1 - 6.6.2). If the accused or the victim preferred not to count on the assistance of any person, the information on the progress of the investigation will be provided directly to them.
- 11.4 The investigators will meet the complainant or complainants, the victim (if the allegations have been made by other persons), the accused and any other person who may help to clarify the facts referred to in the accusations.
- 11.5 If the victim is a minor, the investigators will discern the appropriateness of interviewing him/her. In case of meeting him/her, they should first obtain the express consent of the minor's parents or guardians, and the interview will take place in their presence.

- 11.6 Before interviewing the accused he/she should be informed about the allegations made against him/her (cf. arts. 6.6.1 - 6.6.2), and granted the possibility of answering to them. If he/she so desires, this answer may be given in writing, either by him/herself or his/her canon or civil lawyer. The accused may also answer the accusations verbally when interviewed by the investigators, if he/she prefers to do so.
- 11.7 When interviewing the accused person, it should be borne in mind that he/she is not bound to admit to an offence, nor may the oath be administered to the accused (cf. CCL, c. 1728 § 2).
- 11.8 The investigators and those interviewed by them are to sign a written report of each interview after ascertaining that it reflects adequately what was dealt with in the interview. With this purpose the interviews can be recorded. Whoever makes the transcription of the recordings should undertake a commitment to keep the secret of office.

12. Conclusions and Recommendations of the Preliminary Investigation

- 12.1 After the investigation, the investigators shall consider:
 - 12.1.1 Whether they can come to the conclusion that the allegations made against the accused person are credible or not.
 - 12.1.2 Whether the facts and circumstances before them correspond to the offence of sexual abuse (cf. Appendix I).
 - 12.1.3 Whether the alleged offence is imputable to the accused.
 - 12.1.4 Whether the behavior, while not constituting sexual abuse, is nevertheless because of its imprudence, etc. inappropriate for a priest or a lay person who wishes to live his/her Christian vocation in an exemplary manner.
- 12.2 The investigators shall submit a report with their conclusions about the matters referred to in art. 12.1 to the Committee. They may add the suggestions and recommendations that they deem opportune. This report is to be accompanied by the reports or transcriptions of the interviews (cf. art. 11.8), as well as by any other document of interest (letters, etc.) which may have been handed to them during the investigation.
- 12.3 The Committee should meet expeditiously to consider the investigators' report and determine whether the investigation was complete and without irregularities. If necessary, the Committee may ask the investigators to complete the information they have sent. Subsequently the Committee will submit all the documents of the investigation to the Vicar. In a covering letter they will express whether they agree with the conclusions of the investigators and may make any additional recommendations they feel should be included.
- 12.4 Mindful that the preliminary investigation is a difficult and trying time for the victim and the accused, the Vicar and the members of the Committee shall seek to ensure that it is brought to an end in as short a time as possible, being vigilant so that the investigators may not delay the interviews or the drafting and submission of their conclusions.

13. Closure of the Preliminary Investigation by the Vicar

- 13.1 The Vicar shall assess conscientiously the reports and conclusions of the Coordinator (cf. art. 10.1), the investigators (cf. art. 12.2) and the Committee (cf. art. 12.3). If he deems it necessary, he may refer the case back to the Committee and the investigators for clarification or further investigation. If he is satisfied with the completeness and appropriateness of the investigation, he shall close the preliminary investigation.

- 13.2 If the Vicar's conclusion is that the allegations are not credible, he will issue a decree closing the investigation and rejecting the allegations as groundless. He will send a copy of this decree to the accused, the alleged victim and the Committee.
- 13.3 If the accused is a cleric and the Vicar's conclusion is that the allegations are credible and that therefore there are reasons to think that an offence has been committed:
 - 13.3.1 He will ascertain that the allegations have been reported to the civil authorities (cf. arts. 6.2.1 and 9).
 - 13.3.2 He will forward the acts of the preliminary investigation to the Prelate for their referral to the Congregation for the Doctrine of the Faith (cf. SST, art. 16).
 - 13.3.3 He will send a letter to the accused, the victim and the Committee informing them of having done what is said in art. 13.3.1.
 - 13.3.4 In this letter, the Vicar shall advise that the accused is not allowed to participate in any activity of the Prelature in which minors take part or to carry out any other pastoral work, and that he will be able to carry out his ministry only within the centre of the Prelature where he resides.
 - 13.3.5 The Vicar shall also notify the Bishop of the diocese in which the alleged sexual abuse occurred and the Bishop of the place where the accused resides of what is said above (arts. 13.3.1 - 13.3.4).
- 13.4 If the accused is a lay faithful and the Vicar's conclusions is that the accusations are credible and that therefore there are reasons to think that an offence has been committed:
 - 13.4.1 He will ascertain that the allegations have been reported to the civil authorities (cf. arts. 6.2.1 and 9) and will wait for the conclusion of the judicial process in which these allegations will be tried, if it had not concluded before the opening of the preliminary investigation.
 - 13.4.2 He will lay down that the accused is not allowed to participate in any activity of the Prelature in which minors take part.
 - 13.4.3 He will send a letter to the accused, the victim and the ~~Advisory~~ Committee informing them of having done what is said in art. 13.4.2.
 - 13.4.4 The Vicar shall also notify the Bishop of the diocese in which the alleged sexual abuse occurred and the Bishop of the place where the accused resides of what is said above (arts. 13.4.1 - 13.4.3).

VI. PASTORAL RESPONSE AFTER AN INVESTIGATION IS CLOSED

14. Pastoral Response as regards the Victim

- 14.1 The Vicar, or someone appointed by him, will meet the victim (or parents or guardians if the victim is still a minor) to inform him/her of the outcome of the investigation. Both the Vicar or his representative and the victim will have one other person present with them.
- 14.2 If the accusation does not seem to be credible, the alleged victim will be informed of this. He/she will be treated with compassion and given whatever help and support are considered necessary and reasonable.

- 14.3 If the allegations have been found to be credible, the victim and the complainants, if there are others apart from the victim, will be informed. Pastoral support shall be offered to the victim and, if deemed necessary, to his/her family in the manner best suited to the circumstances. When making this offer it will be advisable to explain that this is not to be interpreted as an admission of guilt by the accused or assumption of guilt by the Church authority. Only after the judicial or administrative process which will follow the preliminary investigation or after other canonical response, if there is no process (cf. arts. 17 - 22), will the accused then be declared guilty or innocent (cf. Appendix I, B).

15. Pastoral Response as regards the Accused

- 15.1 As regards the accused, if the preliminary investigation showed that the allegations were not credible or if, as a result of a police investigation no prosecution was instituted or the accused was acquitted by a court of law, the Vicar shall take whatever steps are necessary to restore the good name of the person unjustly accused. These steps may include:
- 15.1.1 a public statement that the accused has been found innocent and, if he is a cleric, is returning to full ministry;
 - 15.1.2 a visit by the Vicar to the apostolic undertakings where the accused works to give the same information to the people who work in those places or take part in their activities;
 - 15.1.3 an offer of spiritual and psychological help to the person wrongly accused to enable him/her deal with the inevitable trauma.
- 15.2 If at the end of the preliminary investigation the allegations seem credible, in addition to doing what is said in arts. 13.3.3 or 13.4.3, the Vicar may urge the accused to voluntarily undergo a medical and psychological evaluation with professionals deemed suitable by both the Vicar and accused. The Vicar will also take care that pastoral support is offered to the accused in keeping with his/her circumstances.

16. Pastoral Response in respect of Others Affected

- 16.1 Abuse profoundly affects the victim's family. The victim may be rejected in his/her social surroundings and the parents may blame themselves for not having taken better care of their children. The Vicar will seek ways and means of assisting them in recovering from the possible psychological and spiritual trauma.
- 16.2 It may happen that the accused is a very popular person in the place where the abuse has taken place. The reaction of those who know him/her may include anger, disappointment, disgust, betrayal, disbelief, grief and compassion for the victim, etc. The Church Authority will give serious consideration to ways of dealing with these conflicting emotions by means of suitable pastoral and psychological remedies.

VII. CANONICAL RESPONSE TO CONFIRMED OFFENCES

17. When even a single act of sexual abuse of a minor by a faithful of the Prelature is admitted by its author or is established after an appropriate judicial or extra-judicial process in accord with Canon Law, the Vicar will determine the person's suitability for continuing in the Prelature.
18. Taking into account the relevant norms of the Statutes of the Prelature (cf. Statuta, nos. 28-35), the Vicar may suggest to the offending person that he/she request the Prelate to be dispensed from his/her incorporation into the Prelature (cf. *ibid.*, no. 31) or suggest to the Prelate that the

person be dismissed from the Prelature. In all cases the rights of the person under the Statutes of Opus Dei and Canon Law will be respected.

19. As regards the canonical penalties applicable to priests or deacons who commit this offence, what is laid down in SST, arts. 6 § 2; 21 § 2 (cf. Congregation for the Doctrine of the Faith, Circular Letter dated 3 May 2011, II) is to be followed.
 - 19.1 A priest or deacon who commits an offence of sexual abuse against a minor may at any time request a dispensation from the obligations of the clerical state.
 - 19.2 In most grave cases the Prelate of Opus Dei, through the Congregation for the Doctrine of the Faith, may present directly to the decision of the Roman Pontiff the dismissal of the guilty party from the clerical state or his deposition, together with dispensation from the law of celibacy, when it is manifestly evident that the offence was committed and after having given the guilty party the possibility of defending himself (cf. SST, arts. 21 § 2, 2°).
20. The Ordinary of the diocese in which the abuse occurred will be informed of the resolution of the case.
21. The return of a cleric to public ministry is excluded if such ministry is a danger for minors or a cause of scandal to the community (cf. Congregation for the Doctrine of the Faith, Circular Letter dated 3 May 2011, III, i).
22. No priest or deacon of the Prelature who has committed an act of sexual abuse against a minor may be assigned to ministry within another ecclesiastical circumscription or transferred for ministerial assignment to another ecclesiastical circumscription, unless the Vicar previously fully informs the Ordinary of that jurisdiction about the offence of sexual abuse the priest or deacon has committed and any other information indicating that he has been or may be a danger to children or young people.

APPENDIX I – OFFENCES: NOTION, CREDIBILITY, IMPUTABILITY AND PRESCRIPTION

Several (though not wholly exhaustive) offences of special importance for the preliminary investigation of allegations of sexual abuse are included in this Appendix and where appropriate along with some brief commentaries.

A. The offence of sexual abuse of minors: its notion in the Law of the Church and of the State

A.1. *Motu proprio* “*Sacramentorum sanctitatis tutela*”

Motu proprio “*Sacramentorum sanctitatis tutela*” of 30 April 2001, with the amendments made to it in the *Normae de gravioribus delictis* of 21 May 2010, Article 6:

§1. *The more grave delicts against morals which are reserved to the Congregation for the Doctrine of the Faith are:*

1° *the delict against the sixth commandment of the Decalogue committed by a cleric with a minor below the age of eighteen years; in this case, a person who habitually lacks the use of reason is to be considered equivalent to a minor;*

2° *the acquisition, possession, or distribution by a cleric of pornographic images of minors under the age of fourteen, for purposes of sexual gratification, by whatever means or using whatever technology.*

A.2. The Procedure and Praxis of the Congregation for the Doctrine of the Faith

The *Procedure and Praxis of the Congregation for the Doctrine of the Faith* regarding “*Graviora Delicta*”, Section A, “*Delicta contra mores*”, quotes the *Motu proprio* “*Sacramentorum sanctitatis tutela*” of 30 April 2001, without the amendments made to it in the *Normae de gravioribus delictis* of 21 May 2010. However what it says about the offence of sexual abuse of minors is still relevant in order to understand its notion:

«a) The *motu proprio* speaks of a “*delictum cum minore*”. This does not mean only physical contact or direct abuse, but includes indirect abuse also (for example: showing pornography to minors; lewd indecent exposure in front of minors). Included also is the possession of, or downloading from the internet of, pedophilic pornography. This type of behaviour is also a civil crime in some nations. While “browsing” may be involuntary, it is difficult to see how “downloading” could be considered so, since not only does it involve making a choice or choosing a specific option, but often involves payment by credit card and the furnishing of personal information by the purchaser which can be traced back to him. Some priests have been incarcerated for possession of thousands of pornographic photos of children and youth. According to the praxis of the CDF such behaviour is considered a *delictum gravius*.»

b) Can. 1395 § 2 CIC speaks of a delict with a minor under 16: “*cum minore infra aetatem sedecim annorum*”. The *motu proprio*, on the other hand, speaks of a delict with a minor under 18: “*delictum ... cum minore infra aetatem duodeviginti annorum*”. Therefore the classification of the delict becomes more complex. Some experts, in fact, speak not only of pedophilia (the sexual attraction to prepubescent children) but also of ephebophilia (the

sexual attraction to adolescents), of homosexuality (the sexual attraction to adults of the same sex) and of heterosexuality (the sexual attraction to adults of the other sex). Between sixteen and eighteen years of age, some “minors” may indeed be perceived as objects of homosexual or heterosexual attraction. Some civil jurisdictions consider a person of sixteen years as capable of giving consent for sexual activity (whether hetero- or homosexual). The *motu proprio*, however, stigmatizes as a delict every violation of the Sixth Commandment with a minor under eighteen years of age whether based on pedophilia, ephebophilia, homosexuality or heterosexuality. This differentiation has, nevertheless, an importance from the psychological, pastoral and juridical points of view. It helps, no doubt, both the Ordinary and the judge in grasping the gravity of the delict and choosing the path necessary for the reform of the guilty cleric, the reparation of scandal and the restitution of justice (cfr can. 1341).

«b) Can. 1395 § 2 of the Code of Canon Law speaks of a delict with a minor under 16: “*cum minore infra aetatem sedecim annorum*”. The *motu proprio*, on the other hand, speaks of a delict with a minor under 18: “*delictum ... cum minore infra aetatem duodeviginti annorum*”. Therefore the classification of the delict becomes more complex. Some experts, in fact, speak not only of pedophilia (the sexual attraction to prepubescent children) but also of ephebophilia (the sexual attraction to adolescents), of homosexuality (the sexual attraction to adults of the same sex) and of heterosexuality (the sexual attraction to adults of the other sex). Between sixteen and eighteen years of age, some “minors” may indeed be perceived as objects of homosexual or heterosexual attraction. Some civil jurisdictions consider a person of sixteen years as capable of giving consent for sexual activity (whether hetero- or homosexual). The *motu proprio*, however, stigmatizes as a delict every violation of the Sixth Commandment with a minor under eighteen years of age whether based on pedophilia, ephebophilia, homosexuality or heterosexuality. This differentiation has, nevertheless, an importance from the psychological, pastoral and juridical points of view. It helps, no doubt, both the Ordinary and the judge in grasping the gravity of the delict and choosing the path necessary for the reform of the guilty cleric, the reparation of scandal and the restitution of justice (cfr can. 1341).»

A.3. Hong Kong Law

1. CRIMES ORDINANCE

- a) Rape – Section 118 which includes the offences of attempted rape, aiding and abetting, counselling or procuring rape or attempted rape and incitement to rape.
- b) Non-consensual buggery/Assault with intent to commit buggery/homosexual buggery with or by man under 16/Buggery with girl under 21/Buggery with mentally incapacitated person/Procuring others to commit homosexual buggery/gross indecency with or by man under 16 – Sections 118A to 118H.
- c) Gross indecency by man with male mentally incapacitated/Gross indecency by man with man otherwise than in private/procuring gross indecency by man with man – Sections 118I to 118K.
- d) Procurement of sexual intercourse by threats/ Procurement of sexual intercourse by false pretences - Sections 119 and 120.

- e) Administering drugs to obtain or facilitate unlawful sexual intercourse – Section 121.
- f) Indecent assault – Section 122
- g) Intercourse with a girl under 13/Intercourse with a girl under 16/Intercourse with mentally incapacitated person – Sections 123 to 125.
- h) Abduction of unmarried girl under 16 – Section 126.
- i) Abduction of unmarried girl under 18 for sexual intercourse – Section 127.
- j) Abduction of mentally incapacitated person from parent or guardian for sexual act – Section 128.
- k) Trafficking in persons to or from Hong Kong for sexual purposes – Section 129.
- l) Control over persons for purpose of unlawful sexual intercourse or prostitution – Section 130.
- m) Causing prostitution – Section 131.
- n) Procurement of girl under 21 to have unlawful sexual intercourse/Procurement of mentally incapacitated person to have unlawful sexual intercourse – Sections 132 and 133.
- o) Causing or encouraging prostitution of, intercourse with or indecent assault of girl or boy under 16 – Section 135.
- p) Causing or encouraging prostitution of mentally incapacitated person – Section 136.
- q) Use, procurement or offer of persons under 18 for making pornography or for live pornographic performances – Section 138A.
- r) Permitting girl or boy under 13 to resort to or be on premises or vessel for intercourse – Section 140.
- s) Permitting young person to resort to or be on premises or vessel for intercourse, prostitution, buggery or homosexual act – Section 141.
- t) Permitting mentally incapacitated person to resort to or be on premises or vessel for intercourse, prostitution or homosexual act – Section 142.
- u) Indecent conduct towards child under 16 – Section 146.
- v) Indecency in public (covers acts of indecent exposure) – Section 148

2. PREVENTION OF CHILD PORNOGRAPHY ORDINANCE

This Ordinance covers printing, making producing, reproducing, copying, importing or exporting any child pornography which is defined as a photograph, film image or other visual depiction that is pornographic depiction of a child (under 16 years old) and whether made or generated by electronic or other means and whether it depicts a real person and whether or not it has been modified. Terms such as film, photograph, pornographic depiction and other terms are defined in the Ordinance.

3. OFFENCES AGAINST THE PERSON ORDINANCE

This ordinance sets out offences against the person and includes using chloroform etc. in order to commit indictable offences (such as rape), common assault, assault occasioning actual bodily harm.

A.4. Macau Law

SECTION II OF CHAPTER V OF TITLE I OF THE MACAU CRIMINAL CODE

- a) Article 166 (Child Sexual Abuse)
- b) Article 167 (Sexual abuse of pupils and dependents)
- c) Article 168 (Rape)
- d) Article 169 (Sexual Acts with minors)
- e) Article 170 (Prostitution of minor)

A.5. Taiwan Law

CHILD AND YOUTH SEXUAL TRANSACTION PREVENTION ACT

- a) Article 23 (Seducing, permitting, matching, helping or by other means having a person under the age of 18 engage in sexual transaction which is defined as sexual intercourse or obscene act for a consideration).
- b) Article 24 (Engaging a person under the age of 18 in sexual transaction by violence, menace, medicament, control, hypogenesis or other means against his/her will).
- c) Article 25 (Engaging a person under the age of 18 in sexual transaction and delivering or accepting him/her to or from other person by means of dealing, impawning or other ways).
- d) Article 27 (Shooting or producing pictures, videos tapes, films, CDs, electronic transmission or other products of sexual intercourse or obscenity of a person under the age of 18).
- e) Article 28 (Distributing, broadcasting or selling, filming, producing photographs, movies, videos, DVDs, digital files, and other materials, displaying these materials in public or using other means to produce them to others)

A.6. Korea Law

1. CRIMINAL ACT

- a) Article 287 (Kidnapping or Abduction of Minors).
- b) Article 288 (Kidnapping, Abduction, etc. for Purpose of Indecent Acts, etc.).
- c) Article 289 (Trafficking in Persons).
- d) Article 290 (Inflicting or Causing Another's Bodily Injury while in Kidnapping, Abduction, Trafficking in Persons, Transportation, etc.).
- e) Article 291 (Killing Another or Causing Death of Another while in Kidnapping, Abduction, Trafficking in Persons, Transportation, etc.).
- f) Article 292 (Receiving, Harboring, etc. of Person Kidnapped, Abducted, Trafficked, or Transported).
- g) Article 294 (Attempts) - Attempts to commit any of the crimes of Articles 287 through 289 and Articles 290 (1), 291 (1) and 292 (1) shall be punished.
- h) Article 296 (Preparations or Conspiracies) - A person who makes preparations or conspires with the intent to commit any of the crimes of Articles 287 through 289 and Articles 290 (1), 291 (1) and 292 (1), shall be punished.

- i) Article 296-2 (Universality) - Articles 287 through 292 and Article 294 shall apply to aliens who commit any of the crimes in these Articles outside the territory of the Republic of Korea.
- j) Article 297 (Rape)
- k) Article 297-2 (Imitative Rape)
- l) Article 298 (Indecent Act by Compulsion)
- m) Article 299 (Quasi-Rape, Quasi-Indecent Act by Compulsion)
- n) Article 300 (Attempts) - Attempts to commit any of the crimes of Articles 297, 297-2, 298 and 299 shall be punished.
- o) Article 301 (Inflicting or Causing Another's Bodily Injury by Rape, etc.) - A person who commits any of the crimes of Articles 297, 297-2, and 298 through 300, thereby inflicting or causing the injury of a victim of such crime, shall be punished.
- p) Article 301-2 (Killing Another or Causing Death of Another by Rape, etc.)
- q) Article 302 (Sexual Intercourse with Minor, etc.)
- r) Article 303 (Sexual Intercourse by Abuse of Occupational Authority, etc.)
- s) Article 305 (Sexual Intercourse or Indecent Acts with Minor)
- t) Article 305-2 (Habitual Offenders) - A person who habitually commits any of the crimes of Articles 297, 297-2, 298 through 300, 302, 303, and 305 shall be punished by aggravating the penalty by up to one half of the penalty specified for the crime committed.

2. ACT ON SPECIAL CASES CONCERNING THE PUNISHMENT, ETC. OF SEXUAL CRIMES

The purpose of the Act is to prescribe the punishment of sexual crimes and the procedures therefor.

B. Credible allegations

Code of Canon Law, c. 1717:

§1. Whenever an ordinary has knowledge, which at least seems true, of a delict, he is carefully to inquire personally or through another suitable person about the facts, circumstances, and imputability, unless such an inquiry seems entirely superfluous.

The allegations to be investigated are those that seem credible, that have semblance of truth. «A positive condition to open an investigation is that the information obtained provides indications leading to consider as probable the commission of an offence.» (Josemaria Sanchis, Commentary on Canon 1717 in *Exegetical Commentary on the Code of Canon Law*, Gratianus Series, Montreal/Chicago, Wilson & Lafleur/MWTF, 2004).

The purpose of the preliminary investigation is to see whether the semblance of truth (credibility) of the allegations is confirmed. However, it will only be in the judicial or administrative process which may follow the preliminary investigation where the moral certainty needed to impose a penalty is to be attained (cf. canon 1608). That is why at the end of the preliminary investigation the accused is not considered guilty, except when he/she has admitted to the commission of the offence.

C. Imputability

Code of Canon Law, c. 1717:

§1. Whenever an ordinary has knowledge, which at least seems true, of a delict, he is carefully to inquire personally or through another suitable person about the facts, circumstances, and imputability, unless such an inquiry seems entirely superfluous.

What is imputability? When are the facts alleged imputable to the accused person?

Imputability is the quality of an action or omission which makes it attributable to its author in so far he/she has intentionally or negligently violated the law. In Penal Canon Law there are two forms of imputability: intentional violation of the law and violation of the law out of negligence. The Code of Canon Law deals with these two forms of imputability in canon 1321:

§1. No one is punished unless the external violation of a law or precept, committed by the person, is gravely imputable by reason of malice or negligence.

§2. A penalty established by a law or precept binds the person who has deliberately violated the law or precept; however, a person who violated a law or precept by omitting necessary diligence is not punished unless the law or precept provides otherwise.

§3. When an external violation has occurred, imputability is presumed unless it is otherwise apparent.

D. Prescription of the offence of sexual abuse

D.1. Prescription in Canon Law

«Any person is entitled to denounce an offence. “Denunciation” is understood in a broad sense as the action by means of which an offence is reported to the authority. Denouncing offences should be considered not only as a right but also as a duty, either a moral or juridical duty depending on each case. (...) However, making a denunciation does not entail presenting a criminal action or the obligation to prove the culpability of the accused. Bringing a criminal action corresponds exclusively to the promotor of justice at the request of the Ordinary (cf. cc. 1430 and 1721 § 1); it is never the prerogative of the injured party». (Josemaria Sanchis, *Commentary on Canon 1717 in Exegetical Commentary on the Code of Canon Law*, Gratianus Series, Montreal/Chicago, Wilson & Lafleur/MWTF, 2004).

The purpose of bringing a criminal action against an alleged offender is to request the opening of a judicial process to declare or impose a penalty. The possibility of presenting an action is extinguished by the passage of time. This is called prescription (in some countries statute of limitations) and is regulated by the law. In the same penal process which is opened as a consequence of the criminal action brought by the promotor of justice, the injured party may bring a contentious or penal action to obtain compensation for the damages he/she has suffered as a consequence of the offence (cf. CCL, cc. 1596 and 1729 § 1).

Motu proprio “Sacramentorum sanctitatis tutela” of 30 April 2001, with the amendments made to it in the *Normae de gravioribus delictis* of 21 May 2010, Art. 7:

§1. A criminal action for delicts reserved to the Congregation for the Doctrine of the Faith is extinguished by prescription after twenty years, with due regard to the right of the Congregation for the Doctrine of the Faith to derogate from prescription in individual cases.

§2. Prescription runs according to the norm of can. 1362 § 2 of the Code of Canon Law, and can. 1152 § 3 of the Code of Canons of the Eastern Churches. However, in the delict mentioned in art. 6 § 1 n. 1, prescription begins to run from the day on which a minor completes his eighteenth year of age.

D.2. Prescription in Hong Kong, Macau, Taiwan & Korea Law

The right to institute a prosecution for criminal offences does not lapse.

APPENDIX II – REPORT OF ALLEGED SEXUAL ABUSE OF A MINOR ATTRIBUTED TO A FAITHFUL OF THE PRELATURE OF OPUS DEI IN EAST ASIA

It is not necessary to have all the information requested before submitting this report.

1) This report is submitted by:

Name and surname:

Street Address:

City/Town:

Country:

Postal Code:

Tel.:

2) Suspected author of the alleged facts:

Name and surname:

Street Address:

City/Town:

Country:

Postal Code:

Tel.:

Age:

Male Female

3) Alleged victim:

Name and surname:

Street Address:

City/Town:

Country:

Postal Code:

Tel.:

Age:

Age when he/she was allegedly abused:

Male Female

4) Parents or guardian of the alleged victim (when he/she is still a minor):

Name and surname:

Street Address:

City/Town:

Country:

Postal Code:

Tel.:

5) Eyewitnesses to the alleged facts if any (use another sheet if needed):

Name and surname:

Street Address:

City/Town:

Country:

Postal Code:

Tel.:

Name and surname:

Street Address:

City/Town:

Country:

Postal Code:

Tel.:

6) Any individuals who heard about the facts alleged from another person (use another sheet if needed):

Name, surname and title:

Tel.:

Name, surname and title:

Tel.:

Name, surname and title:

Tel.:

7) Facts of the case:

On a separate sheet of paper, please type or handwrite neatly, in a legible manner, a description of the alleged sexual abuse, including the following information if known by those who report the incident:

- A. Nature of the alleged act(s) (type of violation of the 6th Commandment)
- B. Date(s) and time(s) of the alleged act(s)
- C. Location(s)/address(es) where the alleged act(s) took place
- D. Any other information you deem important (for instance, using threats or force, gifts, scandal, involving abuse of position, etc.)

8) Signature and date:

Signature of the person who wrote this report:

Date:

Please sign also the sheet where you write the description (no. 7 above) and staple it to this sheet.

See art. 7.7 of the *Norms for the Investigation of Complaints against Faithful of the Prelature in regard to Sexual Abuse of Minors* on the possibility that this report be written by the Child Protection Coordinator if the complainant so prefers.